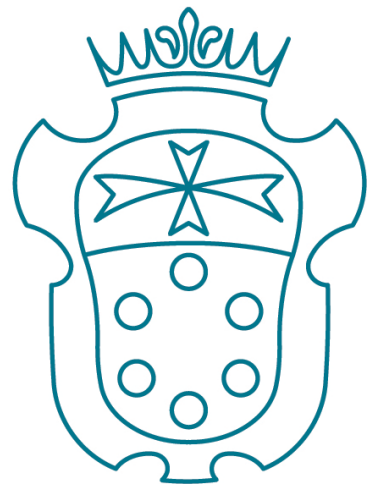


# Effective two-body approach to the hierarchical three-body problem

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In collaboration with : Enrico Trincherini, Francesco Serra

SCUOLA  
NORMALE  
SUPERIORE

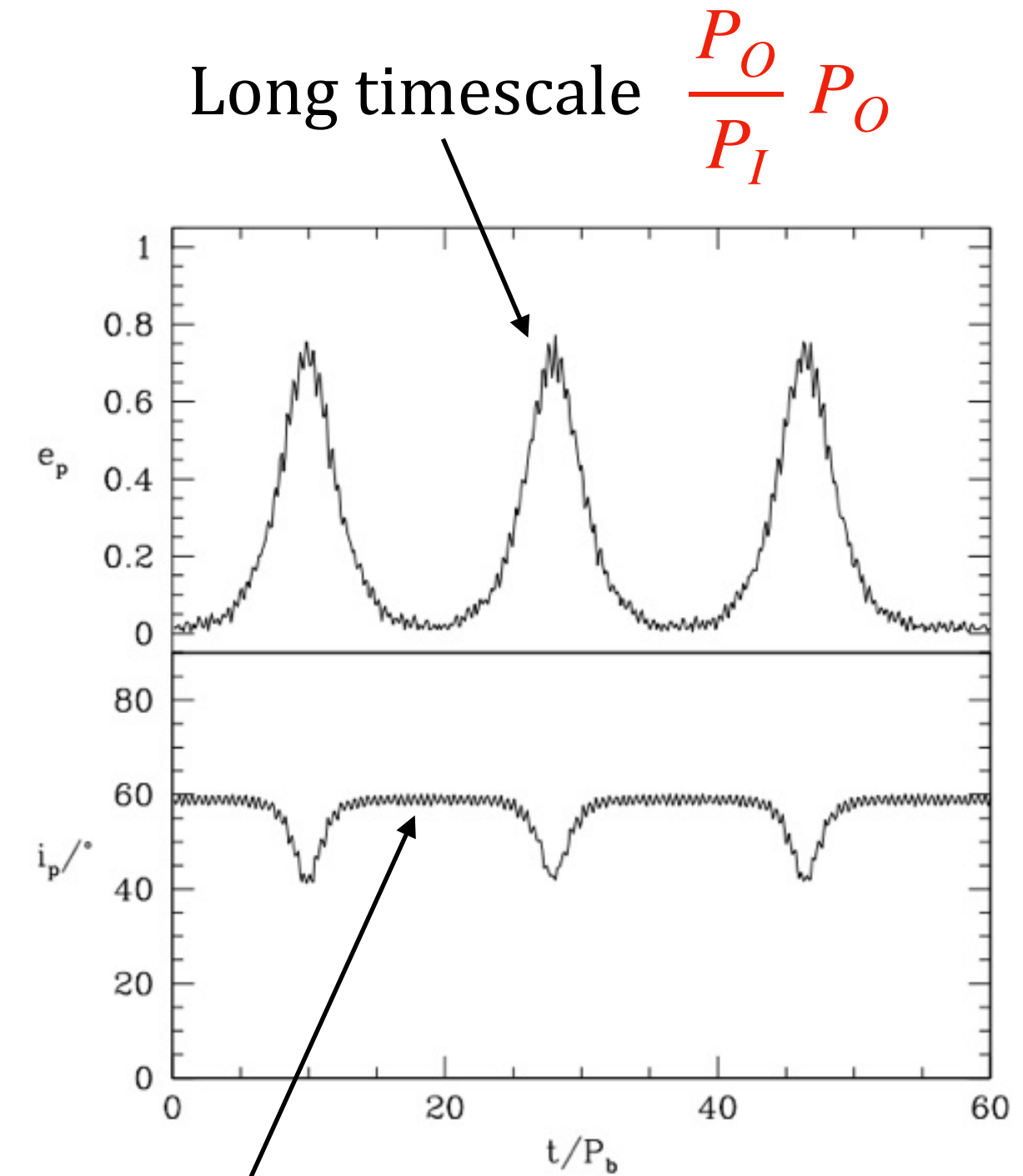
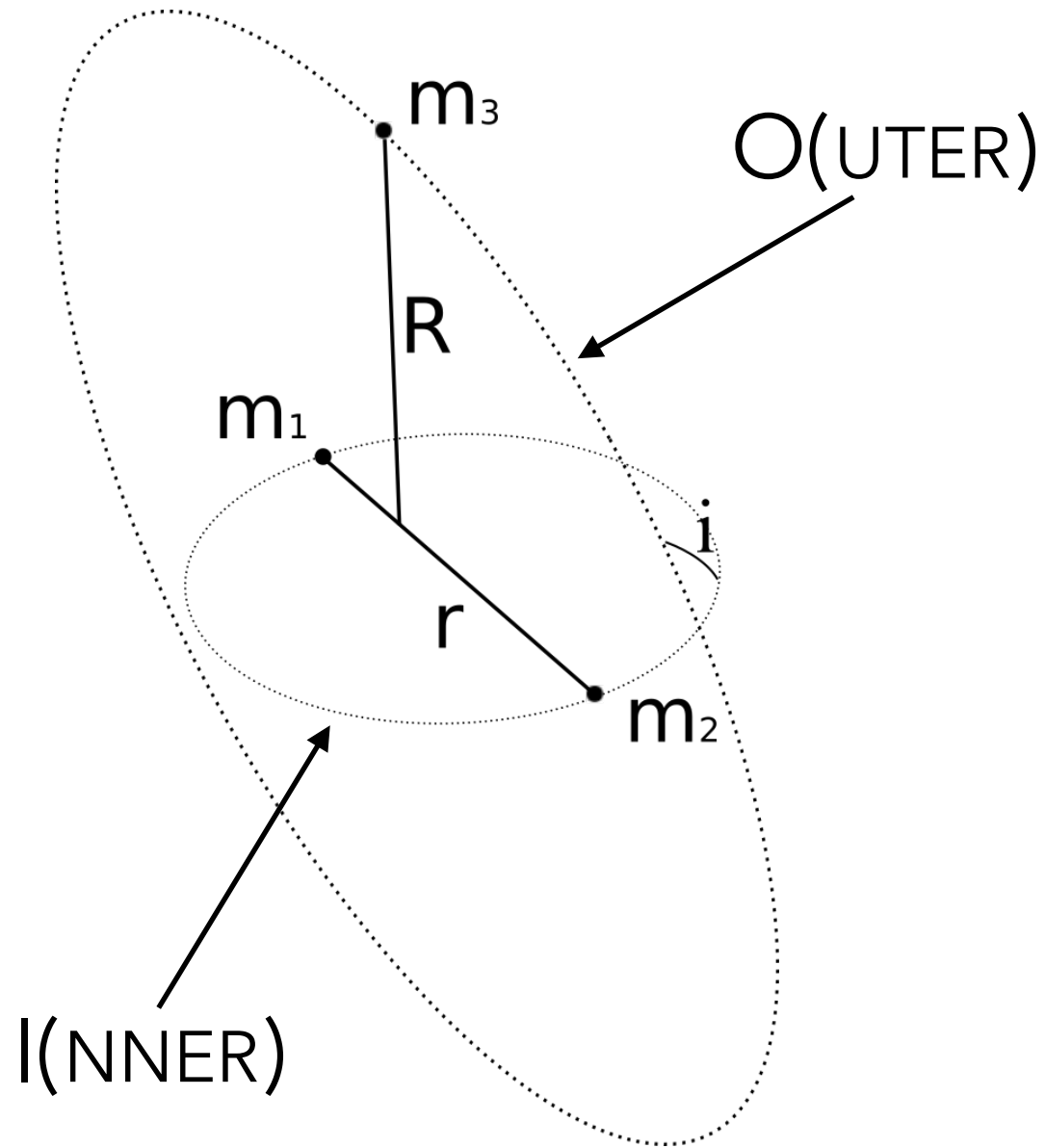


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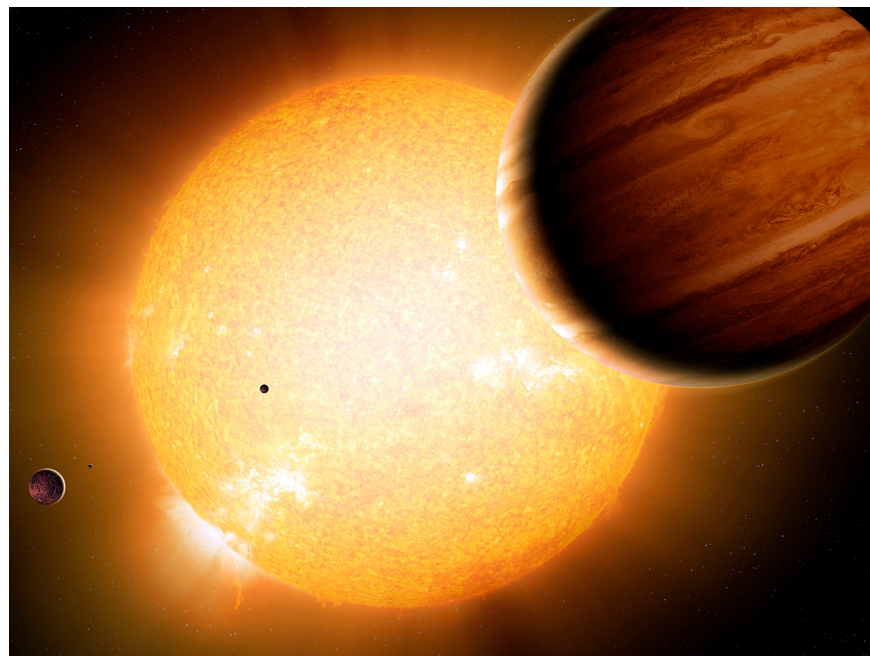
Istituto Nazionale di Fisica Nucleare

# THE KOZAI-LIDOV MECHANISM

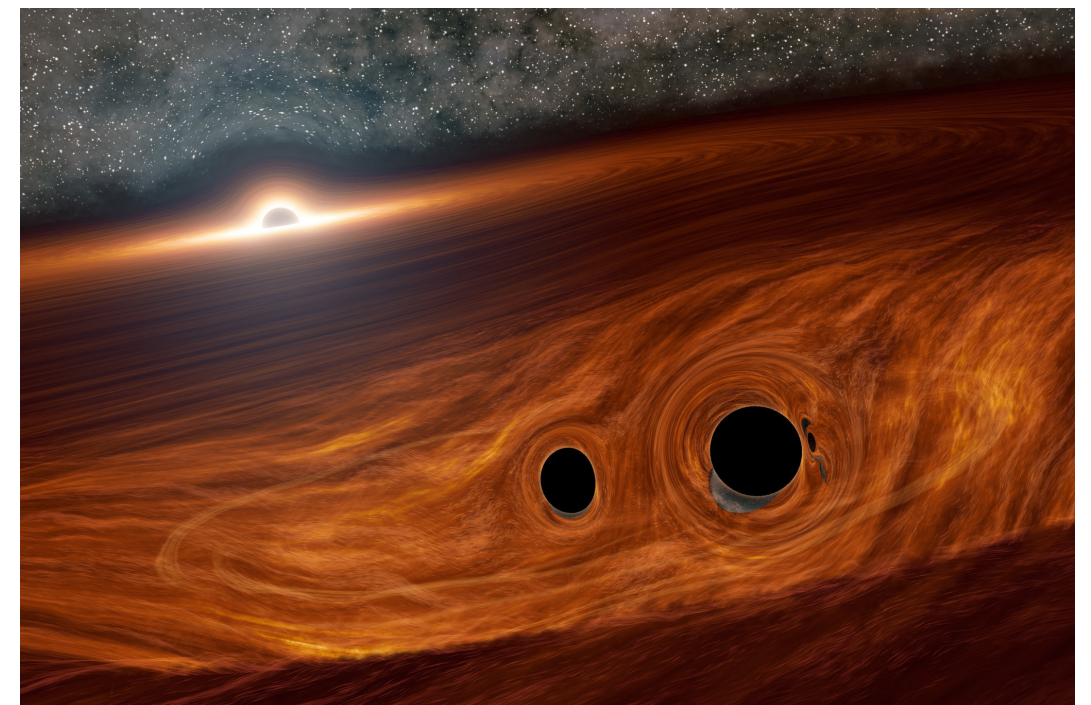


# AN INCREDIBLE AMOUNT OF APPLICATIONS !

- Earth's satellites (original Lidov-Kozai)

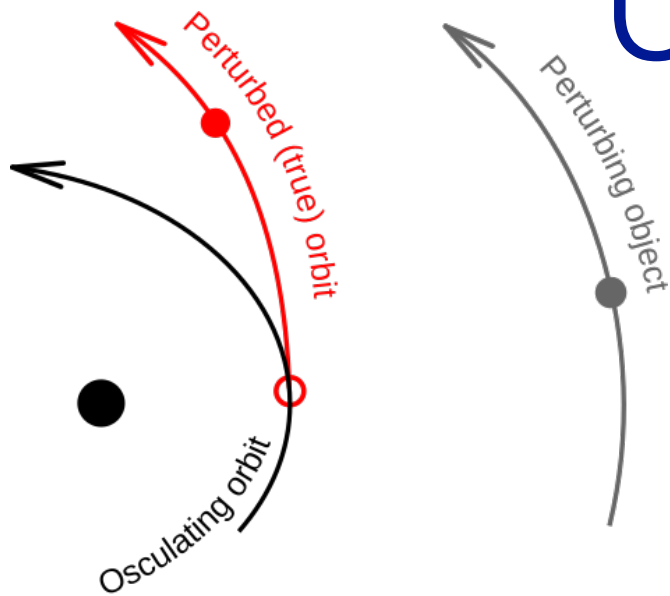


- Hot jupiter binaries



- Quick and eccentric mergers

# USUAL TREATMENT



- Define the osculating orbit

- Write the 3-body Hamiltonian as an 'effective two-body' one:

$$H = \frac{P_1^2}{2m_1} + \frac{P_2^2}{2m_2} + \frac{P_3^2}{2m_3} - \frac{G_N m_1 m_2}{|\mathbf{x}_1 - \mathbf{x}_2|} - \frac{G_N m_1 m_3}{|\mathbf{x}_1 - \mathbf{x}_3|} - \frac{G_N m_2 m_3}{|\mathbf{x}_2 - \mathbf{x}_3|}$$

$$= \left( \frac{p^2}{2\mu} - \frac{G_N m \mu}{r} \right) + \left( \frac{P^2}{2\mu'} - \frac{G_N M \mu'}{R} \right) - H'$$

$$m = m_1 + m_2$$

$$M = m_1 + m_2 + m_3$$

$$\mu = \frac{m_1 m_2}{m}$$

$$\mu' = \frac{m m_3}{M}$$

To quadrupole order :

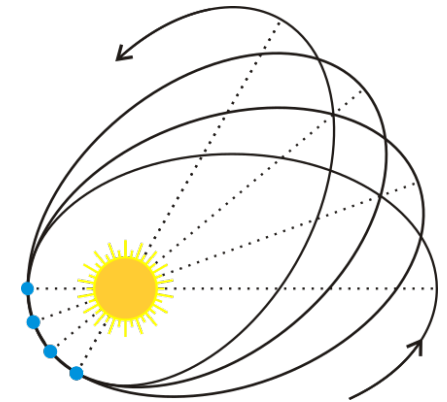
$$H' = - \frac{G_N m_1 m_2 m_3}{2m} \frac{r^2}{R^3} \left( 3 \frac{(\mathbf{r} \cdot \mathbf{R})^2}{r^2 R^2} - 1 \right)$$



# USUAL TREATMENT

- Average the Hamiltonian over the short timescales of the two orbits

$$H' \rightarrow \langle\langle H' \rangle\rangle$$



- Finally, write the ‘Lagrange planetary equations’ (LPE):

$$\dot{a} = \frac{2}{na} \frac{\partial \tilde{H}'}{\partial u},$$

$$\dot{e} = -\frac{\sqrt{1-e^2}}{na^2e} \frac{\partial \tilde{H}'}{\partial \omega} + \frac{1-e^2}{na^2e} \frac{\partial \tilde{H}'}{\partial u},$$

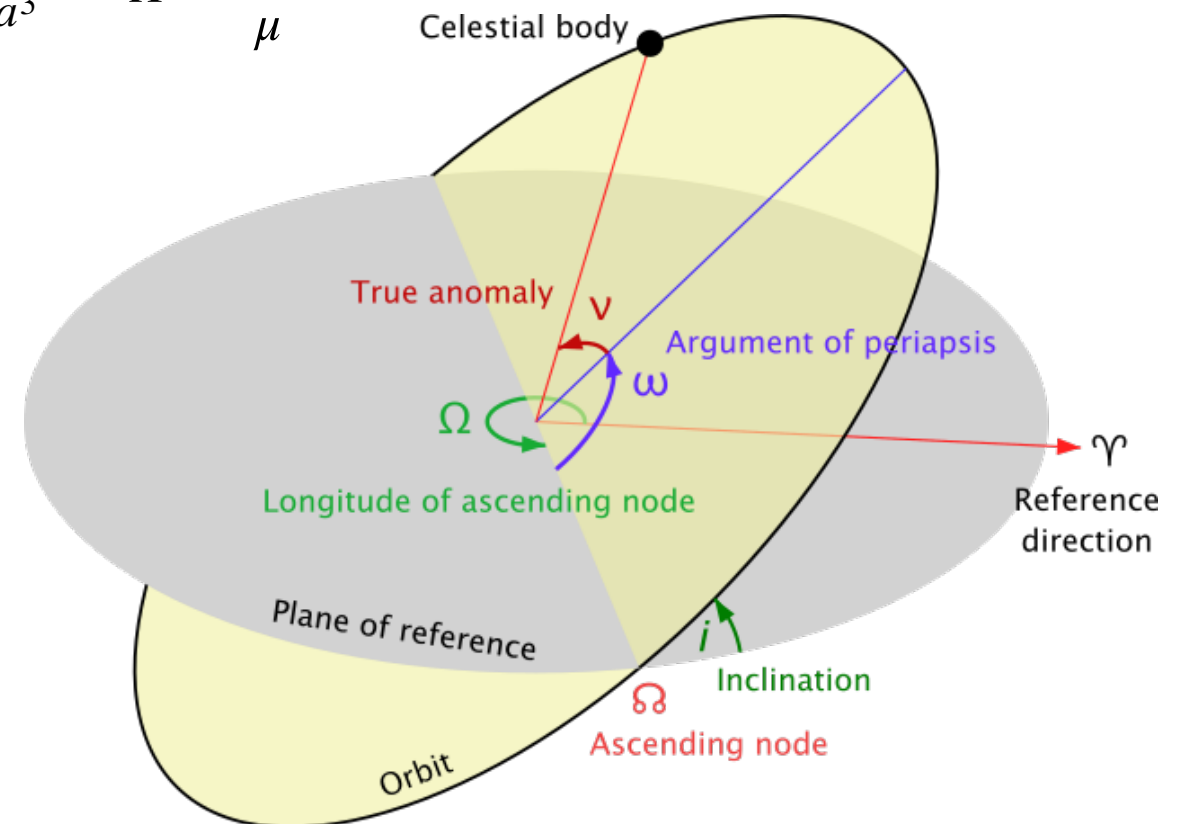
$$\dot{i} = -\frac{1}{na^2\sqrt{1-e^2}\sin i} \frac{\partial \tilde{H}'}{\partial \Omega} + \frac{\cos i}{na^2\sqrt{1-e^2}\sin i} \frac{\partial \tilde{H}'}{\partial \omega},$$

$$\dot{u} = n - \frac{2}{na} \frac{\partial \tilde{H}'}{\partial a} - \frac{1-e^2}{na^2e} \frac{\partial \tilde{H}'}{\partial e},$$

$$\dot{\omega} = \frac{\sqrt{1-e^2}}{na^2e} \frac{\partial \tilde{H}'}{\partial e} - \frac{\cos i}{na^2\sqrt{1-e^2}\sin i} \frac{\partial \tilde{H}'}{\partial i},$$

$$\dot{\Omega} = \frac{1}{na^2\sqrt{1-e^2}\sin i} \frac{\partial \tilde{H}'}{\partial i},$$

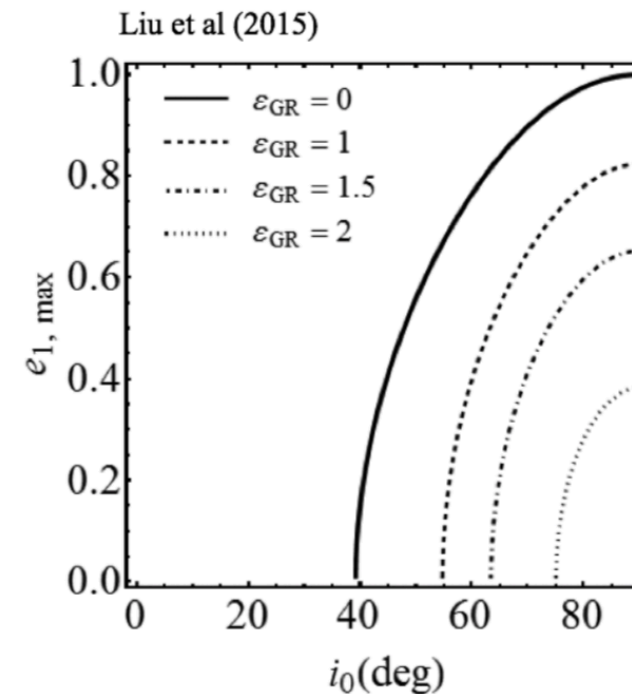
$$n = \sqrt{\frac{G_N m}{a^3}} \quad \tilde{H}' = \frac{H'}{\mu}$$



# RELATIVISTIC CORRECTIONS

- GR precession tends to suppress the eccentricity oscillations

$$\left. \frac{d\omega}{dt} \right|_{\text{PN}} = \frac{3}{a(1-e^2)} \left( \frac{G_N m}{a} \right)^{3/2}$$



- What if the system as a whole is very relativistic ? Waveform ?

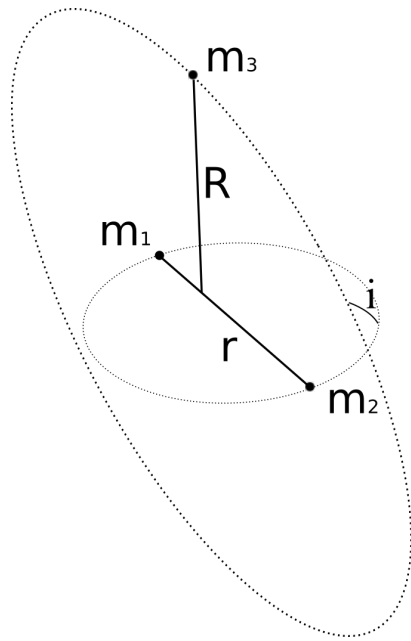
Very few studies ! Will (2014) Naoz (2013) Lim and Rodriguez (2020)

$$\begin{aligned} \mathbf{a}_a = & -\sum_{b \neq a} \frac{Gm_b \mathbf{x}_{ab}}{r_{ab}^3} + \frac{1}{c^2} \sum_{b \neq a} \frac{Gm_b \mathbf{x}_{ab}}{r_{ab}^3} \left[ 4 \frac{Gm_b}{r_{ab}} + 5 \frac{Gm_a}{r_{ab}} + \sum_{c \neq a,b} \frac{Gm_c}{r_{bc}} + 4 \sum_{c \neq a,b} \frac{Gm_c}{r_{ac}} - \frac{1}{2} \sum_{c \neq a,b} \frac{Gm_c}{r_{bc}^3} (\mathbf{x}_{ab} \cdot \mathbf{x}_{bc}) - v_a^2 + 4\mathbf{v}_a \cdot \mathbf{v}_b \right. \\ & \left. - 2v_b^2 + \frac{3}{2} (\mathbf{v}_b \cdot \mathbf{n}_{ab})^2 \right] - \frac{7}{2c^2} \sum_{b \neq a} \frac{Gm_b}{r_{ab}} \sum_{c \neq a,b} \frac{Gm_c \mathbf{x}_{bc}}{r_{bc}^3} + \frac{1}{c^2} \sum_{b \neq a} \frac{Gm_b}{r_{ab}^3} \mathbf{x}_{ab} \cdot (4\mathbf{v}_a - 3\mathbf{v}_b)(\mathbf{v}_a - \mathbf{v}_b), \end{aligned}$$

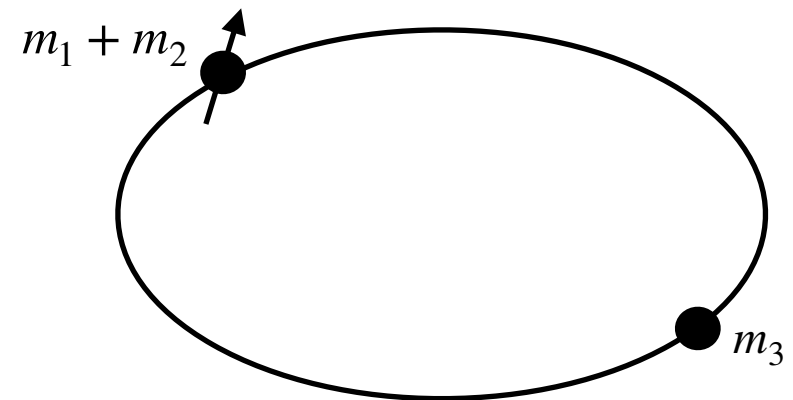
The 'cross terms' can be very hard to obtain !

# TAKE A STEP BACK

Couldn't we replace this system with a much simpler one ?



3-BODY WAVEFORM



2-BODY SPINNING TEMPLATE

Write down an EFT, to dipolar order:

$$\mathcal{L}_{\text{full}} = \sum_{A=1}^3 -m_A \sqrt{-g_{\mu\nu} v_A^\mu v_A^\nu} \Rightarrow \mathcal{L}_{\text{EFT}} = \frac{1}{2\pi} \int_0^{2\pi} du \mathcal{L}_{\text{full}} = -\mathcal{E} \sqrt{-g_{\mu\nu} V_{\text{CM}}^\mu V_{\text{CM}}^\nu} + \frac{1}{2} J_{\mu\nu} \Omega^{\mu\nu} - m_3 \sqrt{-g_{\mu\nu} v_3^\mu v_3^\nu}$$

Set up new power-counting rules, e.g:

$$V_{\text{CM}}^2 \sim \frac{G_N M}{A} = \frac{a}{A} \frac{G_N M}{a} \sim \frac{a}{A} v^2$$

# THE BINARY EFT

$$\mathcal{L}_{\text{EFT}} = -\mathcal{E} \sqrt{-g_{\mu\nu} V_{\text{CM}}^\mu V_{\text{CM}}^\nu} + \frac{1}{2} J_{\mu\nu} \Omega^{\mu\nu}$$

To dipole order, the coupling of the inner binary to gravity is completely fixed by the equivalence principle

$$\mathcal{E} = m - \frac{G_N m}{2a},$$

$$J_{ij} = \epsilon_{ijk} J^k,$$

$$\mathbf{J} = \sqrt{G_N m a (1 - e^2)} \hat{\mathbf{j}}$$

$$\mathbf{X}_{\text{CM}} = \frac{E_1}{E} \mathbf{x}_1 + \frac{E_2}{E} \mathbf{x}_2$$



$$J_{0i} = 0$$

$$E_A = m_A + \frac{1}{2} m_A v_A^2 - \frac{G_N m_1 m_2}{2r}, \quad E = E_1 + E_2$$



# THE BINARY EFT

$$\mathcal{L}_{\text{EFT}} = -\mathcal{E} \sqrt{-g_{\mu\nu} V_{\text{CM}}^\mu V_{\text{CM}}^\nu} + \frac{1}{2} J_{\mu\nu} \Omega^{\mu\nu}$$

To dipole order, the coupling of the inner binary to gravity is completely fixed by the equivalence principle

$$\mathcal{E} = m - \frac{G_N m}{2a}, \quad J_{ij} = \epsilon_{ijk} J^k, \quad \mathbf{J} = \sqrt{G_N m a (1 - e^2)} \hat{\mathbf{j}}$$

But what is  $\Omega^{\mu\nu}$  ?

$$\begin{aligned} \dot{a} &= \frac{2}{na} \frac{\partial \tilde{H}'}{\partial u}, \\ \dot{e} &= -\frac{\sqrt{1-e^2}}{na^2 e} \frac{\partial \tilde{H}'}{\partial \omega} + \frac{1-e^2}{na^2 e} \frac{\partial \tilde{H}'}{\partial u}, \\ \dot{i} &= -\frac{1}{na^2 \sqrt{1-e^2} \sin i} \frac{\partial \tilde{H}'}{\partial \Omega} + \frac{\cos i}{na^2 \sqrt{1-e^2} \sin i} \frac{\partial \tilde{H}'}{\partial \omega}, \\ \dot{u} &= n - \frac{2}{na} \frac{\partial \tilde{H}'}{\partial a} - \frac{1-e^2}{na^2 e} \frac{\partial \tilde{H}'}{\partial e}, \\ \dot{\omega} &= \frac{\sqrt{1-e^2}}{na^2 e} \frac{\partial \tilde{H}'}{\partial e} - \frac{\cos i}{na^2 \sqrt{1-e^2} \sin i} \frac{\partial \tilde{H}'}{\partial i}, \\ \dot{\Omega} &= \frac{1}{na^2 \sqrt{1-e^2} \sin i} \frac{\partial \tilde{H}'}{\partial i}, \end{aligned}$$



$$\mathcal{L}_{\text{EFT}} = \mathbf{J} \cdot \boldsymbol{\Omega}$$

The LPE are just a spin kinetic term! The angular velocity is:

$$\boldsymbol{\Omega} = \hat{\mathbf{e}} \times \dot{\hat{\mathbf{e}}}$$

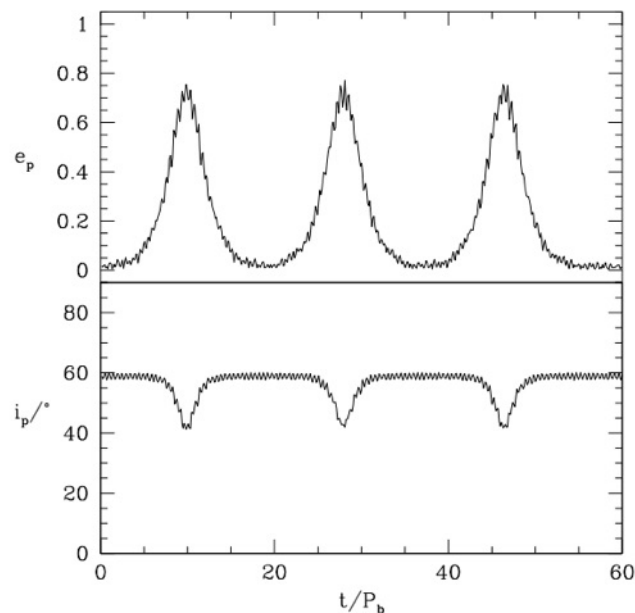
$\hat{\mathbf{e}} \equiv$  UNIT RUNGE-LENZ VECTOR

# QUADRUPOLE ORDER

At 1PN quadrupole order there are three new operators:

$$\mathcal{O}_1 = \frac{1}{2} \int d\tau Q^{ij} E_{ij} \quad \mathcal{O}_2 = -\frac{1}{3} \int d\tau I^{ij} B_{ij} \quad \mathcal{O}_3 = -C_{S^2} \int d\tau S^i S^j E_{ij}$$

$C_{S^2}$  should be computed by matching. Physical interpretation :



$$e = \langle e \rangle + \delta e$$

Low-energy DOF, assumed constant in the averaging procedure

High-energy fluctuations, to be integrated out

# CONCLUSIONS

- The EFT treatment is ideally suited for the hierarchical 3-body pb
- Symmetries allow to guess the form of the ‘cross terms’ without a single computation; this was obscure in conventional treatment
- A nice explicit example of a composite point-particle in NRGR
- Higher-order matching computations and waveform modelling now more tractable